

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Marquette, Brian

Serial No. 09/965,057

Filed: September 26, 2001

For: Media session
framework using a
control module to direct
and manage application
and service servers

Examiner: VU, VIET DUY

Art Unit: 2154


Confirmation No. 2669

REQUEST FOR REFUND UNDER 37 C.F.R. 1.28(a)

Mail Stop: 16
Director of the USPTO
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This request for refund is related to an overpayment made on June 10, 2010. The 3rd Year Maintenance Fee was paid as a large entity of \$980.00. The small entity fee of \$490.00 should have been paid as the client is considered to be a small entity as shown on the attached copy of the transmittal of the Issue Fee. However, on June 10, 2010, the Patent Office charged the large entity fee against Deposit Account No. 08-2623. Therefore, please refund the difference of \$490.00 to Deposit Account No. 08-2623.



Brian Kinnear, P.C., Reg. No. 43,717
HOLLAND & HART LLP
555-17th Street, Suite 3200
Denver, Colorado 80202-3979
Telephone: 303-295-8170
Facsimile: (303) 295-8261

Acknowledgement Receipt

The USPTO has received your submission at **12:19:30** Eastern Time on **23-JAN-2007** by Deposit Account: 082623.

\$ **1000** fee paid by e-File via *RAM* with Confirmation Number: 1623.

eFiled Application Information

EFS ID	1457860
Application Number	09965057
Confirmation Number	2669
Title	MEDIA SESSION FRAMEWORK USING A CONTROL MODULE TO DIRECT AND MANAGE APPLICATION AND SERVICE SERVERS
First Named Inventor	Brian Marquette
Customer Number or Correspondence Address	26582
Filed By	Brian P Kinnear/Michele Clark
Attorney Docket Number	44846.830002.000
Filing Date	26-SEP-2001
Receipt Date	23-JAN-2007
Application Type	Utility

Application Details

Submitted Files	Page Count	Document Description	File Size	Warnings
certofmail.pdf	1	Miscellaneous Incoming Letter	25415 bytes	PASS
Transmittal.pdf	1	Miscellaneous Incoming Letter	24439 bytes	PASS
Issuefee.pdf	1	Issue Fee Payment (PTO-85B)	98103 bytes	PASS
fee-info.pdf	2	Fee Worksheet (PTO-06)	8357 bytes	PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EQ/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing

Receipt, in due course.

If you need help:

- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail EBC@uspto.gov for specific questions about Patent e-Filing.
- Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).
- If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic Business Support](#) or call 1 800-786-9199.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Marquette, Brian

Serial No. 09/965,057

Filed: September 26, 2001

For: Media session framework using a control
module to direct and manage application
and service servers

Examiner: VU, VIET DUY

Art Unit: 2154

Confirmation No. 2669

CERTIFICATE OF FILING

Mail Stop ISSUE FEE
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

The undersigned hereby certifies that:

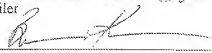
1. Transmittal of Issue Fee;
2. Part B—Issue Fee Transmittal.
3. Authorized to Charge To Deposit Account;

and this Certificate of Filing were filed with the United States Patent Office, on this 23
January 2007.

January 23, 2007


Filer

January 23, 2007


Brian Kinnear, P.C., Reg. No. 43,717
HOLLAND & HART LLP
555 17th Street, Suite 3200
Denver, Colorado 80201-8749
Telephone: (303) 295-8170
Facsimile: (303) 295-8261

PART B - FEE(S) TRANSMITTAL

Complete send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
 or **Fax (571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address, and/or (b) indicating a separate "FEE ADDRESS" for correspondence fee notifications.

CURR/INT CURR/INT/NEW FEE ADDRESS (Note: Use Block 1 for new change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fees/Transmittal. This certificate cannot be used for any other accompanying papers, such as additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Date of mailing or transmission)
(Signature)
(Print name)

25502 7500 11/01/2006

HOLLAND & HART, LLP
P.O. BOX 8749
DENVER, CO 80201

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

09/065,057 09/26/2001 Brian Marquette 44846-836002-000 2669

TITLE OF INVENTION: MEDIA SESSION FRAMEWORK USING A CONTROL MODULE TO DIRECT AND MANAGE APPLICATION AND SERVICE SERVERS

APPL. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE DUE	DATE PAID
nonprovisional	NO	\$1400	\$300	\$0	\$1700	02/01/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
VU, VIET DUY	2154	709-225000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363)

- ☐ Change of correspondence address for Change of Correspondence Address form PTO/SB123 attached.
☐ "Fee Address" indication for "Fee Address" Indication form PTO/SB47, Rev. 02/02 or more recent) attached. Use of a **Customer Number** is required.

2. For printing on the patent front page, list:

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If an name is listed, no name will be printed.

Holland & Hart

3. ASSIGNOR NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNOR

(B) RESIDENCE (CITY AND STATE OR COUNTRY)

SandCherry, Inc.

Boulder, CO USA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies

4b. Payment of fees: (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized to charge the payment fee(s), any deficiency, or credit any overpayment, to Deposit Account Number **062823** (enclose an exact copy of this form).

5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date **January 05, 2007**

Typed or printed name

Brian Kinnear

Registration No.

43,717

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.